PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES FAKS/0003 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NOTH (If known seep 37 FR 1.5) To Be Assigned (1) 2 0 0 4 2 CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. 27 August 2003 (27.08.2003) 27 August 2004 (27.08.2004) PCT/AU2004/001161 TITLE OF INVENTION SYSTEM AND METHOD FOR FACILITATING RESPONSIBLE BEHAVIOUR APPLICANT(S) FOR DO/EO/US JOHN THOMAS FLANAGAN Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: ∑ This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3 (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) $\boxtimes$ a. are attached hereto (required only if not communicated by the International Bureau). b. $\square$ have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). $\boxtimes$ An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. A preliminary amendment. 13. An Application Data Sheet under 37 CFR 1.76. 14. A substitute specification. 15. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. $\boxtimes$ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. Other items or information: Return Postcard; PTO Form 1449 and a copy of cited art.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to This collection of information). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering process) an application, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. and School an

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. dif known see 370FR 1.5) FAKS/0003 PCT/AU2004/001161 PTO USE ONLY CALCULATIONS The following fees are submitted: Basic national ......\$300 \$ 300.00 21. 🔯 22. 

Examination Fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200.00 All other situations ......\$200 23. Search fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an \$ 500.00 International Searching Authority .......\$100 International Search Report prepared and provided in the Office \$400 All other situations ....... \$ 1000 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof RATE Number of each additional 50 or fraction Extra sheets **Total Sheets** thereof (round up to a whole number) \$0 x \$250 - 100 = 0/50 =60 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ 0.00 claimed priority date (37 CFR 1.492(e)). RATE NUMBER EXTRA NUMBER FILED CLAIMS \$950 x \$50 19 - 20 = Total claims \$0 x \$200 - 3 = 0 Independent claims \$ 0.00 + \$360 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = ¢ 1050 \$ 975.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$ 975.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ 0.00 claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$ 975.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 0.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED : \$ 975.00 Amount to be refunded: \$ Amount to be charged: \$ to cover the above fees is enclosed. A check in the amount of \$  $\Box$ to cover the above fees. 20-0782FAKS3in the amount of \$ 975.00 Please charge my Deposit Account No.  $\boxtimes$ A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit c. 🛛 . A duplicate copy of this sheet is enclosed. Account No. 20-0782FAKS3 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not d. 🔲 be included on this form. Provide credit card information and authorization on PTO-2038. SEND ALL CORRESPONDENCE TO: SIGNATURE WILLIAM B. PATTERSON Date: January 26, 2006 William B. Patterson Patterson & Sheridan, L.L.P. 3040 Post Oak Boulevard, Suite 1500 NAME Houston, Texas 77056 Customer No. 26290 Phone:713-623-4844 34,102 REGISTRATION NUMBER

Atty. Dkt FAKS/0003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 2 6 JAN 2006

In re Application of:

John Thomas FLANAGAN

Serial No.:

Unassigned

International App No.:

PCT/AU2004/001161

· Filed:

Herewith

Internationally Filed: 27 Aug. 2004

For:

System and Method for Facilitating Responsible

Behaviour

Commissioner for Patents

 $oldsymbol{\omega}$ 

Group Art Unit: Unknown

Examiner: Unknown

Customer No.: 26290

CERTIFICATE UNDER 37 CFR 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on January 26, 2006, with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV763974798US addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

William B. Patterson Typed Name

P.O. Box 1450 Alexandria, VA 22313-1450

MAIL STOP PCT

Dear Sir:

## LETTER OF TRANSMITTAL

Enclosed for filing are the following documents:

- Transmittal Letter to the United States Designated/Elected Office 1) (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
- International Publication No. WO 2005/022453 (2 copies); 2)

PCT International Search Report; 3)

- PCT International Preliminary Report on Patentability w/ annexes and 4) amended sheets;
- Combined Declaration and Power of Attorney (unsigned); 5)

Information Disclosure Statement; and 6)

PTO Form 1449 and a copy of cited art; and 7)

Return Postcard. 8)

Respectfully submitted,

Date: 26 Jan 2006

William B. Patterson Registration No. 34,102

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